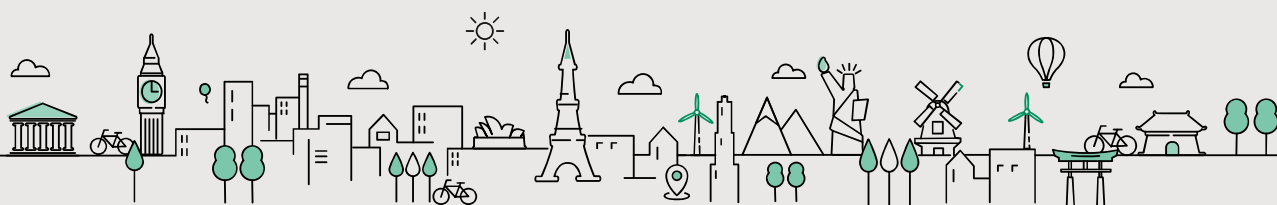


# SFDR Statements

Statements made in accordance with the articles 3.1, 3.2, 4.1a, 4.2 and 4.5a of the Regulation 2019/2088 of the European Parliament and of the Council of 27 November 2019 on sustainability-related disclosures in the financial services sector (SFDR) about policies on the integration of sustainability risks and about consideration of the principal adverse impacts on sustainability factors.

March 10<sup>th</sup> 2021



**BNP PARIBAS**  
**CAPITAL PARTNERS**

## GENERAL INFORMATION

BNP Paribas' mission is to contribute to responsible and sustainable growth by financing the economy and advising clients according to the highest ethical standards. The Group's CSR policy is one of the main components of this approach. In line with the United Nations' Sustainable Development Goals, it is based on four pillars (economic, social, civic and environmental) that reflect its CSR challenges, as well as the Bank's concrete achievements.

BNP Paribas Capital Partners is itself engaged towards responsible investing and is a signatory of the UN PRI. BNP Paribas Capital Partners has implemented an ESG (Environment, Social and Governance) Policy that sets out the rationale for integration of ESG criteria across all investments. It includes an ESG due diligence framework that helps facilitates the evaluation of ESG risks and opportunities. Exclusion lists of sectors that we consider to pose potential risks to the society and/or environment have been defined for each investment strategy. Post-investment, ESG indicators are collected on a regular basis from investees to review and monitor their progression.

BNP Paribas Capital Partners is a PRI signatory which promotes the implementation of strict requirements and a strong degree of transparency about ESG integration throughout the entire investment process.

## INTEGRATION OF SUSTAINABILITY RISKS IN INVESTMENT DECISION-MAKING PROCESS AND INVESTMENT ADVICE

BNP Paribas Capital Partners takes into account the environmental, social and governance risks that could cause an actual or a potential material negative impact on the value of the financial instruments it selects or advises to its clients.

Through the application of its sectorial policies, BNP Paribas Capital Partners excludes certain activities, and by doing so takes sustainability risks into account.

Prior to investment or advice, all opportunities are systematically analyzed by the investment teams within the frame of the ESG due diligence process. The conclusions of this ESG due diligence are presented to the investment committees.

## CONSIDERATION OF ADVERSE IMPACTS OF INVESTMENT DECISIONS ON SUSTAINABILITY FACTORS

When selecting and advising financial instruments, BNP Paribas Capital Partners takes into account the impact of the underlying investment on sustainability factors. BNP Paribas Capital Partners considers the principal adverse impacts in its investment advice and decisions through the approach described below.

Due to the specific nature of fund of fund management, BNP Paribas Capital Partners takes into account the negative impact of its investments through the assessment of investee entities as part of its due diligence framework.

After investment, BNPP Capital Partners collects ESG indicators from the investee entities and compares them with historical data on a regular basis. A specific focus is given in priority to the indicators demonstrating the most negative impact over the period.

As part of any new investment BNP Paribas Capital Partners monitors the evolution of adverse impacts. When necessary, BNP Paribas Capital Partners engages with the investee entities concerned in order to help them improve.

As the regulation evolves, BNP Paribas Capital Partners will adapt its setup to properly take into account and report on negative impacts of investment decisions or investment advice on sustainability factors.

## REMUNERATION POLICY

Information on how remuneration policy is consistent with the integration of sustainability risks is available at <https://capitalpartners.bnpparibas.com/>

BNP Paribas Capital Partners is a portfolio management company approved by the French financial markets Authority (Autorité des marchés financiers - the "AMF") under number GP97107 on 30 September 1997 and is a simplified joint stock company with its registered office at 1, boulevard Haussmann 75009 Paris, France, RCS Paris 348 541 145.